

Attorney's Docket No.: W0538/7003 T

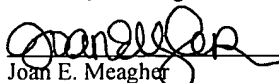
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jacob Wohlstadter  
Serial No.: 09/698,586  
Filed: October 27, 2000  
For: METHOD OF EXCHANGING SECURITIES  
Art Unit: 2161

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 2, 2001.

  
Joan E. Meagher

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:


Transmitted herewith are the following documents:

- ☒ Preliminary Amendment
- ☒ Part 2 Notice to File Missing Parts
- ☒ Declaration for Patent Application
- ☒ Declaration claiming Small Entity Status (copy from priority application)
- ☒ Petition for 2 Month Extension of Time
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

The Commissioner is hereby authorized to charge \$1903.00 to the account of the undersigned, Deposit Account No. 23/2825 (\$355.00 filing fee, \$828.00 claims over 20, \$520.00 for independent claims over 3, \$135.00 multiple dependent fee, \$65.00 surcharge, \$195.00 extension of time) for the filing fee. If this fee is insufficient or if additional extensions of time are necessary, the Commissioner is authorized to charge the balance to the account of the undersigned. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
Timothy J. Oyer, Reg. No. 36,628  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
Tel. 617-720-3500

Docket No.: W0538/7003  
Date: May 2, 2001  
x05/03/01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/698,586	10/27/2000	Jacob Wohlstadter	W0538/7003

Timothy J Oyer  
Wolf Greenfield & Sacks PC  
600 Atlantic Avenue  
Boston, MA 02210

## FORMALITIES LETTER



\*OC000000005649903\*

Date Mailed: 01/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$8384.
  - \$7074 for 393 total claims over 20.
  - \$1040 for 13 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 9224.

05/17/2001 TV0111 00000017 09698586

01 FC:203 648.00 OP

Adjustment date: 05/17/2001 TV0111  
05/09/2001 UKORONA 00000023 232825 09698586  
04 FC:204 135.00 OP  
05 FC:203 130.00 CR 698.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Repln. Ref: 05/17/2001 TV0111 0012324400  
DA#: 232825 Name/Number: 09698586  
FC: 704 \$185.00 CR

Customer Service Center

05/09/2001 UKORONA 00000023 232825 09698586  
02 FC:201 353.00 OP  
03 FC:202 520.00 OP  
04 FC:203 230.00 CR 230.00 CR  
05 FC:204 65.00 CR 65.00 CR